

PARKVIEW PARTNERS CAPITAL MANAGEMENT



Independent

Experienced

Disciplined



PARKVIEW PARTNERS
CAPITAL MANAGEMENT

291 EAST LIVINGSTON AVE.
COLUMBUS, OH 43215

PHONE: (614) 427-2132
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WHO WE ARE

Parkview Partners Capital Management is an independent asset and wealth management company. The firm employs investment strategies that optimize resources to help advisors and clients achieve their goals and objectives in a tax efficient manner.

Our approach is personal and professional and driven by an unyielding pursuit of excellence.

Clients include high net-worth individuals and families, charitable organizations, industry associations and personal holding companies.

WHY PARKVIEW PARTNERS

- Parkview Partners is a fiduciary. *We put client's best interest first and always*, in every decision we make.
- Parkview Partners is a **GIPS*** compliant investment manager.
- Our focus is a *tax-efficient management* approach.
- *We collaborate and work closely with advisors.*
- We customize our investment approach and *prioritize what is important to your clients.*
- *Our investment process is disciplined and repeatable.*
- *Clients we serve are located throughout the U.S. and abroad.*
- *We provide clients with what we believe is an unmatched degree of personal service, communication, and transparency.*

*Adherence to the Global Investment Performance Standards



Parkview maintains strong relationships with globally recognized investment research providers. Daily communication with these important resources, in combination with our disciplined investment process has resulted in a very high level of client satisfaction and retention. We are always willing and pleased to share ideas and resources with advisors and clients and do so on a regular basis.

PLANNING & EVALUATING GOALS AND OBJECTIVES

As an independent, fee-only advisor, meeting client objectives is our most important priority.

Working closely with advisors to obtain a clear understanding of a client’s financial needs is the most important factor in setting realistic *written* goals, objectives and guidelines. Factors such as cash flow needs, return requirements, charitable and family financial commitments, risk tolerance, and more, are critically important and discussed at length with the client’s advisor.



With the above knowledge, the foundation for endeavoring to achieve clients’ goals and missions are established.

Client objectives are the primary focus in recommending an appropriate portfolio asset allocation and for setting realistic expectations for long-term capital market returns.

Planning and regular evaluation of progress never stops. That’s why so much emphasis is placed on the importance of *regular* interaction with advisors and/or their clients when necessary.

FIRM PHILOSOPHY & APPROACH

Parkview Partners is an *active manager* of financial assets. We are committed to the historic evidence that patient investing in financial assets protects purchasing power and provides real rates of return.

Our objective is to *achieve positive, long-term, client objectives and consistent rates of return*. Success is measured in relation to the needs and objectives of individual clients and is dependent upon their tolerance for risk (volatility), time horizon and/or the need for cash flow.

Asset Allocation & Diversification – The Keys to Success

We believe that the primary determinate of long-term investment portfolio returns is asset allocation e.g., the distribution of stocks, bonds, “cash” and other investments in a portfolio. As a result, a great deal of time, thought and analysis is given to understanding clients’ objectives, needs, obligations, risk tolerance and investment time-horizon to determine an appropriate asset allocation.

Consistent, Objective, Disciplined and Repeatable

The recent and increasing focus on short-term results in financial markets is in opposition to sound investment principals.

We are, and believe clients should be, long-term investors who seek consistency and the rewards of compounding investment returns.

INVESTMENT POLICY IS A DISCIPLINED PROCESS

- Policy is predetermined and developed before events occur.
- Determinants of policy are few, clear, and observable.
- Changes in policy are infrequent, i.e., the exception rather than the rule.
- Risk and reward are balanced through a process that is consistent, objective, disciplined, repeatable and asset allocation focused.
- Tax efficiency is an important consideration.
- Growth and preservation of capital are paramount objectives.

Parkview Partners Capital Management Strategic Growth



PARKVIEW PARTNERS
CAPITAL MANAGEMENT

As of 12/31/2023

Why Parkview?

The objective is to achieve client goals and above average returns through active, tax efficient management of a strategically diversified balanced portfolio.
(70-80% stocks/20-30% bonds)

• Independent Investment Manager

• GIPS compliant investment results

• Disciplined investment process

• Tax efficient focused

• Access to senior portfolio managers

• Fiduciary standards

• Complete Transparency

• LPL Management Capabilities

• Approved on Fidelity Separate Account Network

• Approved Manager for U.S. Bank Trust

Investor Profile

High Net Worth private clients
Endowments/Foundations
Charitable organizations
Industry associations
Personal holding companies

Portfolio Managers

Chandon M. Simonis
J. Erik Niermeyer
Harold C. Elliott
Mark K. Obrock

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Calendar Year Total Returns (Net of Fees)

	<u>PPCM</u>	<u>Benchmark *</u>
2016 **	2.60%	1.63%
2017	17.04%	18.46%
2018	-5.47%	-5.08%
2019	23.22%	24.07%
2020	16.07%	15.32%
2021	20.00%	17.40%
2022	-18.12%	-16.04%
2023	21.40%	19.36%

** 2016 Partial Year (9/1/2016-12/31/2016)

Top Ten Stock Holdings

Apple Inc (AAPL)	8.50%
Microsoft Corp (MSFT)	7.48%
NVIDIA Corp (NVDA)	4.36%
Alphabet Inc. Class A (GOOGL)	4.30%
Amazon.com Inc (AMZN)	4.04%
Accenture Plc (ACN)	3.62%
Meta Platforms Inc (META)	3.49%
Thermo Fisher Scientific Inc. (TMO)	3.49%
Broadcom Inc (AVGO)	3.05%
JPMorgan Chase & Co (JPM)	2.80%

Annualized Performance - (9/1/2016-12/31/2023)

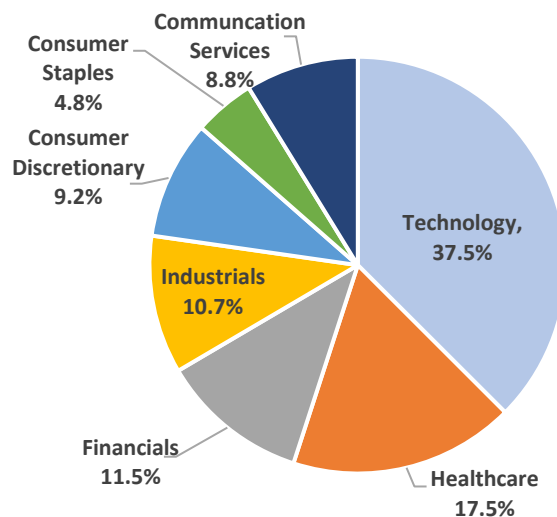
	<u>YTD</u>	<u>1Yr.</u>	<u>3 Yr.</u>	<u>5 Yr.</u>	<u>Inception</u>
Strategic Growth (Gross)	22.41%	22.41%	6.94%	12.20%	10.33%
Strategic Growth (Net)	21.40%	21.40%	6.06%	11.27%	9.43%
Benchmark *	19.36%	19.36%	5.57%	10.98%	9.33%

Equity Characteristics

	<u>PPCM</u>	<u>S&P 500</u>
Earnings Growth (10Yr.)	17.5%	15.1%
Revenue Growth (10Yr.)	12.3%	11.2%
Dividend Growth (10Yr.)	14.2%	12.0%
FCF Growth (10Yr.)	14.7%	12.0%
Return on Equity (ROE)	26.7%	23.7%

Fixed Income Characteristics

QUALITY - Investment Grade Bonds
MATURITY - Average Maturity of Less Than 5 Years
SECTOR - U.S. Government & Corporate Issues
Floating Rate Bonds



Strategic Growth Portfolio

Equity Sector

Diversification

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With Parkview Partners' comprehensive strategies and experienced managers, you can get back to doing other things important to your business.



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Disclosures:

**Partial year presented from September 1, 2016 through December 31, 2016.*

^ Pure Gross of Fees Returns are presented as supplemental to Net of Fees Returns and do not include the deduction of any expenses. See below for additional information.

Compliance Statement – Parkview Partners Capital Management claims compliance with the Global Investment Performance Standards (GIPS®) for investment strategies and has prepared and presented this report in compliance with the GIPS standards. The investment strategies have been independently verified for the periods 4/30/2016 through 6/30/2023. The verification report is available upon request. A firm that claims compliance with the GIPS standards must establish policies and procedures for complying with all the applicable requirements of the GIPS standards. Verification provides assurance on whether the firm’s policies and procedures related to composite and pooled fund maintenance, as well as the calculation, presentation, and distribution of performance, have been designed in compliance with the GIPS standards and have been implemented on a firm-wide basis. Verification does not provide assurance on the accuracy of any specific performance report.

The Firm - Stratos Wealth Partners is an SEC registered investment adviser that provides investment management services to institutional and individual investors. PPCM was founded on April 30, 2016. The advisors affiliated with Stratos Wealth Partners through PPCM manage a variety of investment strategies.

The Composite/Benchmark - The Strategic Growth composite contains all discretionary, fee-paying accounts managed in accordance with the Parkview Partners strategy. This composite is a growth strategy which seeks to outperform its custom benchmark (58.12% S&P 500 Index, 19.38% MSCI AC World Index Ex USA (Net), 20% Bloomberg Barclays U.S. Aggregate Bond Total Return index, & 2.5% Bloomberg Barclays 1-3 Month T-Bill (Cash)) over full market cycles. It consists of individual stocks, bond, and/or ETFs. The custom benchmark is calculated monthly and rebalanced quarterly. The composite inceptioned in September 2016 and the composite was created in December 2020. A list of composite descriptions can be furnished upon request.

Fees - Pure Gross of fees returns are gross of trading expenses, management, advisory and custodial fees. Net of fees returns are net of actual fees and include transaction costs and management fees. PPCM charges a maximum investment management fee of 100 basis points (1.00%) for this strategy. This fee includes portfolio management services and transaction costs.

Dispersion - Internal dispersion is calculated using the asset weighted standard deviation of annual gross returns of those accounts that were included in the composite for the entire year. Internal dispersion is not presented (n/a) for years where less than 6 accounts were invested for 12 months.

Policies - Policies for valuing investments, calculating performance, and preparing GIPS reports are available upon request. All returns are calculated in U.S. Dollars.

Minimum Account Size - The minimum account size for inclusion in the composite is \$300,000.

Ex-Post Standard Deviation - The three-year annualized standard deviation measures the variability of the composite gross returns and the benchmark returns over the preceding 36-month period.

Market or Economic Conditions – Not applicable.

Additional Disclosures - Investing involves risk including loss of principal. The investment returns and principal value of the portfolio will fluctuate so that the value of an investor’s account, when redeemed, may be worth more or less than their original value. No strategy assures success or protects against loss.

The performance data quoted represents past performance; past performance does not guarantee future results.

Returns reflect the reinvestment of dividends and other earnings.

All indices are unmanaged and may not be invested into directly. Indices presented do not reflect any expenses.

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Investment advice offered through Stratos Wealth Partners, Ltd., a registered investment advisor. Stratos Wealth Partners, Ltd. and Parkview Partners Capital Management are separate entities.